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PTO/SB/21 (08-00)

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<b>PTO TRANSMITTAL FORM</b> AUG 11 2003 (to be used for all correspondence after initial filing)	Application Number	10/602,761	
	Filing Date	June 25, 2003	
	First Named Inventor	Christina Smith	
	Group Art Unit	2165	
	Examiner Name	N. Rosen	
Total Number of Pages in This Submission		Attorney Docket Number	000482.00002

ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Anthony W. Kandare, Reg. No. 48,830
Signature	<i>Anthony W. Kandare</i>
Date	August 11, 2003

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<div style="text-align: center;"> <h1 style="margin: 0;">FREE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2003</h2> <p style="font-size: small;">Patent fees are subject to annual revision.</p> <p><b>AUG 11 2003</b></p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> </div>	<div style="text-align: right; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: right; font-weight: bold; font-size: 1.2em;">AUG 18 2003</div> <div style="text-align: right; font-weight: bold; font-size: 1.5em;">GROUP 3600</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; font-weight: normal;">Complete If Known</th> </tr> <tr> <td style="width: 50%;">Application Number</td> <td>10/602,761</td> </tr> <tr> <td>Filing Date</td> <td>June 25, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Christina Smith</td> </tr> <tr> <td>Examiner Name</td> <td>N. Rosen</td> </tr> <tr> <td>Art Unit</td> <td>2165</td> </tr> <tr> <td>Attorney Docket No.</td> <td>000482.00002</td> </tr> </table>	Complete If Known		Application Number	10/602,761	Filing Date	June 25, 2003	First Named Inventor	Christina Smith	Examiner Name	N. Rosen	Art Unit	2165	Attorney Docket No.	000482.00002
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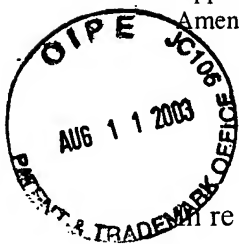
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<p><input type="checkbox"/> Check   <input type="checkbox"/> Credit card   <input type="checkbox"/> Money Order   <input type="checkbox"/> Other   <input type="checkbox"/> None</p> <p><input checked="" type="checkbox"/> Deposit Account:</p> <p>Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">19-0733</span></p> <p>Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">Banner &amp; Witcoff, Ltd.</span></p> <p>The Commissioner is authorized to: (check all that apply)</p> <p><input checked="" type="checkbox"/> Charge fee(s) indicated below   <input checked="" type="checkbox"/> Credit any overpayments</p> <p><input type="checkbox"/> Charge any additional fee(s) during the pendency of this application</p> <p><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</p>	<h3 style="margin: 0;">3. 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late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>exparte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
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SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	Anthony W. Kandare	Registration No. Attorney/Agent)	48,83-	Telephone
Signature				Date
				August 11, 2003

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Appln. No.: 10/602,761  
Amendment dated August 11, 2003



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re the Application of:

**SMITH et al.**

Serial No.: 10/602,761

Filed: June 25, 2003

For: **DATA HEALTH MONITOR FOR  
FINANCIAL INFORMATION  
COMMUNICATIONS NETWORKS**

Atty. Docket No.: 000482.00002

Group Art Unit: 2165

Examiner: N. Rosen

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Prior to examination, Applicants request that the referenced patent application be amended as shown below.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the Listing of Claims, which begins on page 4 of this paper.

**Remarks/Arguments** begin on page 13 of this paper.

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08/13/2003 ZJUWAR1 00000052 190733 . 10602761

01 FC:1201 252.00 DA  
02 FC:1202 414.00 DA